TPW

### **PATENT**

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/722,526

Filing Date:

November 28, 2003

Applicant:

Anders Rosholm, et al.

Group Art Unit:

3737

Examiner:

Unassigned

Title:

PRE-OPERATIVE PLANNING OF IMPLANTATIONS

Attorney Docket:

45900-000830/US

Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314 May 13, 2005

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits a Supplemental Information Disclosure Statement for consideration by the Examiner.

## I. <u>LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION</u>

The patents, publications and other information submitted for consideration by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

#### II. COPIES

A. Submitted herewith is a legible copy of (i) each U.S. patent application
publication and U.S. and foreign patent; (ii) each publication or that portion which
caused it to be listed; (iii) for each cited pending U.S. application, the application
specification including the claims, and any drawing of the application which caused it
to be listed including the claims directed to that portion; and (iv) all other information
or that portion which caused it to be listed.

B. Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

# U.S. Serial Number

# U.S. Filing Date

	C. Because the present application was/is being filed after June 30, 2003, no copies of the U.S. patents or U.S. patent application publications which are listed on the attached Form 1449 are enclosed pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i). Any foreign patent documents or non-patent literature listed on the attached Form 1449 are enclosed herewith.
	D. This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search Report are listed on the attached Form-1449 for consideration by the Examiner and for listing on any patent resulting from this application. Since the International Search Report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g))
III.	CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)
	A. $\boxtimes$ Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).
	B. A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):
	<ol> <li>See the attached foreign patent office communication from a counterpart foreign application:</li> <li>English translations are provided for:</li> <li>Other: English Language Abstract has been provided for DE 198 53 965 A1</li> </ol>
	C.  The following additional information is provided for the Examiner's consideration.
IV.	CROSS REFERENCE TO RELATED APPLICATION(S)
	A. The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. § 122.
	Serial No. Filing Date Art Unit
V.	THIS IDS IS BEING FILED UNDER

A. 37 C.F.R. § 1.97(b): (check only one box)

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	a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required.					
	2. within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required.					
	3. before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).					
	4.  before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required.					
B. ≥ 37 C.F.R. § 1.97(c): (check <u>only</u> one box)						
	before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution.					
	1. No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).					
	2. See the certification below. No fee is required.					
C. 🔲	37 C.F.R. § 1.97(d):					
	after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.					
	1. See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).					

#### VI. CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)

	The undersigned hereby certifies that:
	A. \( \subseteq \) each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. \( \) 1.97(e)(1)). See further statement under 37 C.F. R. 1.704(d) below in section VII, if applicable; or
	B.  no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).
	C. Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.
VII.	STATEMENT UNDER 37 CFR 1.704(d)
	The undersigned hereby states that:
a forei by any this ID	each item of information contained in this IDS was cited in a communication from gn patent office in a counterpart application and this communication was not received individual designated in 37 C.F.R. 1.56(c) more than thirty days prior to the filing of S.
VIII.	PAYMENT OF FEES (check only one box)
	A. No fee is believed to be due in light of the above-provided certification.
	B. A check in the amount of \$180.00 is enclosed for the above-identified fee.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a

above-indicated fee. A duplicate copy of this paper is attached.

C. Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the

person of ordinary skill in the art. Furthermore, this Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

Respectfully submitted,

HARNESS, DICKEY, & PIERCE, P.L.C.

 $\mathbf{B}\mathbf{y}$ 

John A. Castellano, Reg. No. 35,094

P.O. Box 8910

Reston, Virginia 20195

(703) 668-8000

JAC/pjd

Enclosures:

Form PTO-1449

Documents (2)

International Search Report dated 02/17/2005

#### FORM HDP-1449 (Based on Form PTO-1449)

PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Sheet 1 of 1

MAY 1 3 2005

ATTORNEY DOCKET No.	SERIAL NO.
45900-000830/US	10/722,526
APPLICANT	
Anders Rosholm, et al.	
FILING DATE	GROUP
November 28, 2003	3737

ESTAPPENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
		2003/181831 A1	09/25/2003	Tanaka et al.		

FOREIGN PATENT DOCUMENTS						
Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
	DE 198 53 965 A1	05/31/2000	Germany		Abstract	
	-					_
	Examiner's	Examiner's Document Number	Examiner's Document Initials Number Date	Examiner's Document Initials Number Date Country	Examiner's Document Initials Number Date Country Subclass	Examiner's Document Initials Number Date Country Class/ Subclass Yes

OTHE	R DOCUMENT	S (including Author, Title, Date, Pertinent Pages, etc.)
Ref. Desig.	Examiner's Initials	
		International Search Report dated 02/17/2005

Examiner:	Date Considered:
- Laminor.	Date Considered.